

## Section 1.8: Intro to Linear Transformations

Recall that the product  $A\mathbf{x}$  is a linear combination of the columns of  $A$ —turns out to be a vector. If the columns of  $A$  are vectors in  $\mathbb{R}^m$ , and there are  $n$  of them, then

- ▶  $A$  is an  $m \times n$  matrix,
- ▶ the product  $A\mathbf{x}$  is defined for  $\mathbf{x}$  in  $\mathbb{R}^n$ , and
- ▶ the vector  $\mathbf{b} = A\mathbf{x}$  is a vector in  $\mathbb{R}^m$ .

So we can think of  $A$  as an **object that acts** on vectors  $\mathbf{x}$  in  $\mathbb{R}^n$  (via the product  $A\mathbf{x}$ ) to produce vectors  $\mathbf{b}$  in  $\mathbb{R}^m$ .

## Transformation from $\mathbb{R}^n$ to $\mathbb{R}^m$

**Definition:** A transformation  $T$  (a.k.a. **function** or **mapping**) from  $\mathbb{R}^n$  to  $\mathbb{R}^m$  is a rule that assigns to each vector  $\mathbf{x}$  in  $\mathbb{R}^n$  a vector  $T(\mathbf{x})$  in  $\mathbb{R}^m$ .

Some relevant terms and notation include

- ▶  $\mathbb{R}^n$  is the **domain** and  $\mathbb{R}^m$  is called the **codomain**.
- ▶ For  $\mathbf{x}$  in the domain,  $T(\mathbf{x})$  is called the **image** of  $\mathbf{x}$  under  $T$ .
- ▶ The collection of all images is called the **range**.
- ▶ The notation  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  may be used to indicate that  $\mathbb{R}^n$  is the domain and  $\mathbb{R}^m$  is the codomain.
- ▶ If  $T(\mathbf{x})$  is defined by multiplication by the  $m \times n$  matrix  $A$ , we may denote this by  $\mathbf{x} \mapsto A\mathbf{x}$ .

"T of x"

"x maps to Ax"

## Matrix Transformation Example

Let  $A = \begin{bmatrix} 1 & 3 \\ 2 & 4 \\ 0 & -2 \end{bmatrix}$ . Define the transformation  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^3$  by the mapping  $T(\mathbf{x}) = A\mathbf{x}$ .

(a) Find the image of the vector  $\mathbf{u} = \begin{bmatrix} 1 \\ -3 \end{bmatrix}$  under  $T$ .

$$T(\vec{u}) = A\vec{u}.$$

$$= \begin{bmatrix} 1 & 3 \\ 2 & 4 \\ 0 & -2 \end{bmatrix} \begin{bmatrix} 1 \\ -3 \end{bmatrix} = \begin{bmatrix} -8 \\ -10 \\ 6 \end{bmatrix}$$

$\vec{u} = \begin{bmatrix} 1 \\ -3 \end{bmatrix}$  is in  $\mathbb{R}^2$

$T(\vec{u}) = \begin{bmatrix} -8 \\ -10 \\ 6 \end{bmatrix}$  is  
in  $\mathbb{R}^3$

$$A = \begin{bmatrix} 1 & 3 \\ 2 & 4 \\ 0 & -2 \end{bmatrix}$$

(b) Determine a vector  $\mathbf{x}$  in  $\mathbb{R}^2$  whose image under  $T$  is  $\begin{bmatrix} -4 \\ -4 \\ 4 \end{bmatrix}$ .

Find  $\vec{x}$  such that  $T(\vec{x}) = \begin{bmatrix} -4 \\ -4 \\ 4 \end{bmatrix}$ .

Since  $T(\vec{x}) = A\vec{x}$ , this equation is

$$\begin{bmatrix} 1 & 3 \\ 2 & 4 \\ 0 & -2 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} -4 \\ -4 \\ 4 \end{bmatrix}$$

We can use an augmented matrix

$$\begin{bmatrix} 1 & 3 & -4 \\ 2 & 4 & -4 \\ 0 & -2 & 4 \end{bmatrix} \xrightarrow{\text{rref}} \begin{bmatrix} 1 & 0 & 2 \\ 0 & 1 & -2 \\ 0 & 0 & 0 \end{bmatrix}$$

$$x_1 = 2$$

$$x_2 = -2$$

A vector  $\vec{x}$  whose image is  $\begin{bmatrix} -4 \\ -4 \\ 4 \end{bmatrix}$  is

$$\vec{x} = \begin{bmatrix} 2 \\ -2 \end{bmatrix}.$$

$$A = \begin{bmatrix} 1 & 3 \\ 2 & 4 \\ 0 & -2 \end{bmatrix}$$

(c) Determine if  $\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$  is in the range of  $T$ .

i.e. is  $\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$  the image of some  $\vec{x}$  in  $\mathbb{R}^2$ .

This can be stated as an equation:

is  $T(\vec{x}) = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$  solvable, i.e.

is  $A\vec{x} = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$  consistent?

Using an augmented matrix

$$\begin{bmatrix} 1 & 3 & 1 \\ 2 & 4 & 0 \\ 0 & -2 & 1 \end{bmatrix} \xrightarrow{\text{rref}} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$A\vec{x} = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$  is inconsistent.

↑  
pivot  
column!

$\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$  is not in the range of  $T$ .

# Linear Transformations

**Definition:** A transformation  $T$  is **linear** provided

- (i)  $T(\mathbf{u} + \mathbf{v}) = T(\mathbf{u}) + T(\mathbf{v})$  for every  $\mathbf{u}, \mathbf{v}$  in the domain of  $T$ , and
- (ii)  $T(c\mathbf{u}) = cT(\mathbf{u})$  for every scalar  $c$  and vector  $\mathbf{u}$  in the domain of  $T$ .

Every matrix transformation (e.g.  $\mathbf{x} \mapsto A\mathbf{x}$ ) is a linear transformation. And it turns out that every linear transformation from  $\mathbb{R}^n$  to  $\mathbb{R}^m$  can be expressed in terms of matrix multiplication.



## A Theorem About Linear Transformations:

If  $T$  is a linear transformation, then

$$T(\mathbf{0}) = \mathbf{0},$$

$$T(c\mathbf{u} + d\mathbf{v}) = cT(\mathbf{u}) + dT(\mathbf{v})$$

for scalars  $c, d$  and vectors  $\mathbf{u}, \mathbf{v}$ .

And in fact

$$T(c_1\mathbf{u}_1 + c_2\mathbf{u}_2 + \cdots + c_k\mathbf{u}_k) = c_1T(\mathbf{u}_1) + c_2T(\mathbf{u}_2) + \cdots + c_kT(\mathbf{u}_k).$$

## Example

Let  $r$  be a nonzero scalar. The transformation  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  defined by

$$T(\mathbf{x}) = r\mathbf{x}$$

is a linear transformation<sup>1</sup>.

Show that  $T$  is a linear transformation.

We have to show that for any  $\vec{u}, \vec{v}$  in  $\mathbb{R}^2$  and scalar  $c$

$$c \quad T(\vec{u} + \vec{v}) = T(\vec{u}) + T(\vec{v}), \text{ and}$$

$$T(c\vec{u}) = cT(\vec{u}).$$

$$T(\vec{u} + \vec{v}) = r(\vec{u} + \vec{v}) = r\vec{u} + r\vec{v} = T(\vec{u}) + T(\vec{v})$$

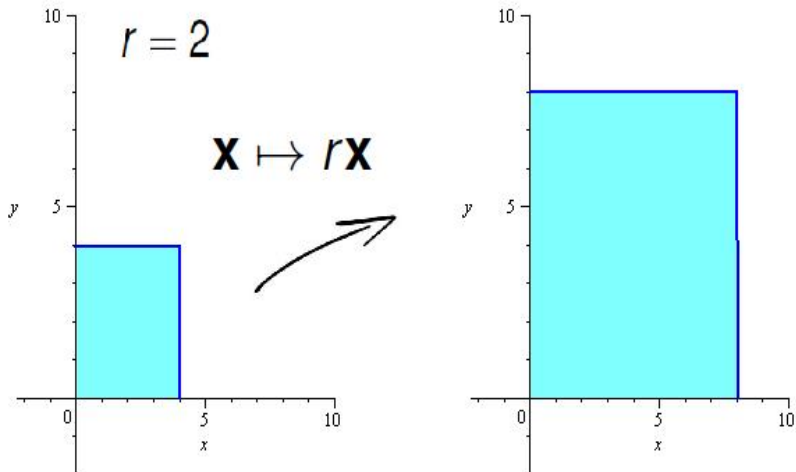
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<sup>1</sup>It's called a **contraction** if  $0 < r < 1$  and a **dilation** when  $r \geq 1$

The 1<sup>st</sup> property holds.

$$\begin{aligned} T(c\vec{u}) &= r(c\vec{u}) = rc\vec{u} = cr\vec{u} = c(r\vec{u}) \\ &= cT(\vec{u}). \end{aligned}$$

Both properties hold, so  $T$  is a linear transformation.



**Figure:** Geometry of dilation  $\mathbf{x} \mapsto 2\mathbf{x}$ . The 4 by 4 square maps to an 8 by 8 square.

## Section 1.9: The Matrix for a Linear Transformation

**Elementary Vectors:** We'll use the notation  $\mathbf{e}_i$  to denote the vector in  $\mathbb{R}^n$  having a 1 in the  $i^{\text{th}}$  position and zero everywhere else.

e.g. in  $\mathbb{R}^2$  the elementary vectors are

$$\mathbf{e}_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad \text{and} \quad \mathbf{e}_2 = \begin{bmatrix} 0 \\ 1 \end{bmatrix},$$

in  $\mathbb{R}^3$  they would be

$$\mathbf{e}_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \quad \mathbf{e}_2 = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \quad \text{and} \quad \mathbf{e}_3 = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

and so forth.

Note that in  $\mathbb{R}^n$ , the elementary vectors are the columns of the identity  $I_n$ .

## Matrix of Linear Transformation

Let  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^4$  be a linear transformation, and suppose

$$T(\mathbf{e}_1) = \begin{bmatrix} 0 \\ 1 \\ -2 \\ 4 \end{bmatrix}, \quad \text{and} \quad T(\mathbf{e}_2) = \begin{bmatrix} 1 \\ 1 \\ -1 \\ 6 \end{bmatrix}.$$

Use the fact that  $T$  is linear, and the fact that for each  $\mathbf{x}$  in  $\mathbb{R}^2$  we have

$$\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = x_1 \begin{bmatrix} 1 \\ 0 \end{bmatrix} + x_2 \begin{bmatrix} 0 \\ 1 \end{bmatrix} = x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2$$

to find a matrix  $A$  such that

$$T(\mathbf{x}) = A\mathbf{x} \quad \text{for every} \quad \mathbf{x} \in \mathbb{R}^2.$$

$$T(\mathbf{e}_1) = \begin{bmatrix} 0 \\ 1 \\ -2 \\ 4 \end{bmatrix}, \quad \text{and} \quad T(\mathbf{e}_2) = \begin{bmatrix} 1 \\ 1 \\ -1 \\ 6 \end{bmatrix}$$

$$\vec{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = x_1 \vec{e}_1 + x_2 \vec{e}_2$$

$$\begin{aligned} T(\vec{x}) &= T(x_1 \vec{e}_1 + x_2 \vec{e}_2) \\ &= x_1 T(\vec{e}_1) + x_2 T(\vec{e}_2) \\ &= x_1 \begin{bmatrix} 0 \\ 1 \\ -2 \\ 4 \end{bmatrix} + x_2 \begin{bmatrix} 1 \\ 1 \\ -1 \\ 6 \end{bmatrix} \end{aligned}$$

as  $T$  is linear

gives

$$= \begin{bmatrix} 0 & 1 \\ 1 & 1 \\ -2 & -1 \\ 4 & 6 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

By definition of  
the product " $A\vec{x}$ "

This holds for any vector  $\vec{x}$  in  $\mathbb{R}^2$ ,

so  $T(\vec{x}) = A\vec{x}$  where

$$A = \begin{bmatrix} 0 & 1 \\ 1 & 1 \\ -2 & -1 \\ 4 & 6 \end{bmatrix}.$$

Note  $A = [T(\vec{e}_1) \quad T(\vec{e}_2)]$



# Theorem

Let  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation. There exists a unique  $m \times n$  matrix  $A$  such that

$$T(\mathbf{x}) = A\mathbf{x} \quad \text{for every } \mathbf{x} \in \mathbb{R}^n.$$

$\leftarrow \vec{x}$  in  $\mathbb{R}^n$

Moreover, the  $j^{\text{th}}$  column of the matrix  $A$  is the vector  $T(\mathbf{e}_j)$ , where  $\mathbf{e}_j$  is the  $j^{\text{th}}$  column of the  $n \times n$  identity matrix  $I_n$ . That is,

$$A = [T(\mathbf{e}_1) \quad T(\mathbf{e}_2) \quad \cdots \quad T(\mathbf{e}_n)].$$

The matrix  $A$  is called the **standard matrix** for the linear transformation  $T$ .

## Example

Let  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the scaling transformation (contraction or dilation for  $r > 0$ ) defined by

$$T(\mathbf{x}) = r\mathbf{x}, \quad \text{for positive scalar } r.$$

Find the standard matrix for  $T$ .

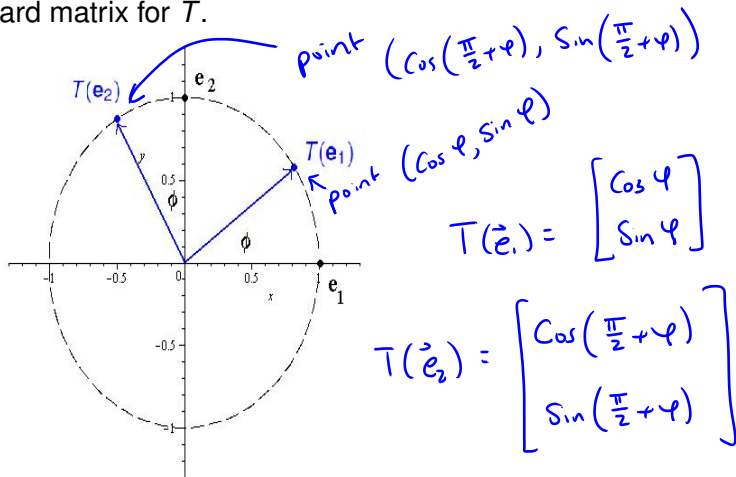
$$\begin{aligned} \text{in } \mathbb{R}^2 \quad \vec{e}_1 &= \begin{bmatrix} 1 \\ 0 \end{bmatrix}, & T(\vec{e}_1) &= r\vec{e}_1 = r \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} r \\ 0 \end{bmatrix} \\ \vec{e}_2 &= \begin{bmatrix} 0 \\ 1 \end{bmatrix}, & T(\vec{e}_2) &= r\vec{e}_2 = r \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ r \end{bmatrix} \end{aligned}$$

so the standard matrix

$$A = [T(\vec{e}_1) \ T(\vec{e}_2)] = \begin{bmatrix} r & 0 \\ 0 & r \end{bmatrix}.$$

## Example

Let  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the rotation transformation that rotates each point in  $\mathbb{R}^2$  counter clockwise about the origin through an angle  $\phi$ . Find the standard matrix for  $T$ .



$$\cos\left(\frac{\pi}{2} + \varphi\right) = \cos\frac{\pi}{2} \cos\varphi - \sin\frac{\pi}{2} \sin\varphi = -\sin\varphi$$

$$\sin\left(\frac{\pi}{2} + \varphi\right) = \sin\frac{\pi}{2} \cos\varphi + \sin\varphi \cos\frac{\pi}{2} = \cos\varphi$$

$$\Rightarrow T(\vec{e}_2) = \begin{bmatrix} -\sin\varphi \\ \cos\varphi \end{bmatrix}$$

The standard matrix

$$A = [T(\vec{e}_1) \quad T(\vec{e}_2)] = \begin{bmatrix} \cos\varphi & -\sin\varphi \\ \sin\varphi & \cos\varphi \end{bmatrix}$$

## Example<sup>2</sup>

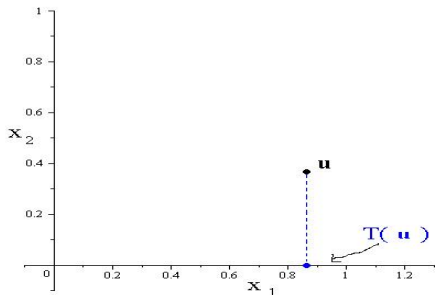
Let  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the projection transformation that projects each point onto the  $x_1$  axis

$$T \left( \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \right) = \begin{bmatrix} x_1 \\ 0 \end{bmatrix}.$$

Find the standard matrix for  $T$ .

$$T(\vec{e}_1) = T \left( \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right) = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$T(\vec{e}_2) = T \left( \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right) = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$



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<sup>2</sup>See pages 73–75 in Lay for matrices associated with other geometric transformation on  $\mathbb{R}^2$

The standard matrix

$$A = [T(\vec{e}_1) \quad T(\vec{e}_2)] = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$

## One to One, Onto

**Definition:** A mapping  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is said to be **onto**  $\mathbb{R}^m$  if each  $\mathbf{b}$  in  $\mathbb{R}^m$  is the image of at least one  $\mathbf{x}$  in  $\mathbb{R}^n$ —i.e. if the range of  $T$  is all of the codomain.

If  $T$  is onto, then an equation  $T(\vec{x}) = \vec{b}$  is consistent for every  $\vec{b}$  in  $\mathbb{R}^m$ .

**Definition:** A mapping  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is said to be **one to one** if each  $\mathbf{b}$  in  $\mathbb{R}^m$  is the image of **at most one**  $\mathbf{x}$  in  $\mathbb{R}^n$ .

i.e.  $T$  is one to one if  $T(\vec{x}) = T(\vec{y})$  if and only if  $\vec{x} = \vec{y}$ .

Determine if the transformation is one to one, onto, neither or both.

$$T(\mathbf{x}) = \begin{bmatrix} 1 & 0 & 2 \\ 0 & 1 & 3 \end{bmatrix} \mathbf{x}.$$

$$T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$$

Let's see if  $T$  is onto.

Is every vector  $\vec{b} = \begin{bmatrix} b_1 \\ b_2 \end{bmatrix}$  the output  
 $\vec{b} = T(\vec{x})$  for some  $\vec{x}$  in  $\mathbb{R}^3$ ?

$$\text{Is } T(\vec{x}) = \begin{bmatrix} 1 & 0 & 2 \\ 0 & 1 & 3 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \end{bmatrix} \text{ consistent?}$$



Using an augmented matrix

$$\begin{bmatrix} 1 & 0 & 2 & b_1 \\ 0 & 1 & 3 & b_2 \end{bmatrix} \xrightarrow{\text{rref}} \begin{bmatrix} 1 & 0 & 2 & b_1 \\ 0 & 1 & 3 & b_2 \end{bmatrix}$$

↑  
never  
a pivot  
column

The system is always consistent,  
that is  $\vec{b}$  is in range  $T$  for every  
 $\vec{b}$  in  $\mathbb{R}^2$ .  $T$  is onto.

From the rref, we see that

$$x_1 = b_1 - 2x_3$$

$$x_2 = b_2 - 3x_3$$

$x_3$  - free

So  $T(\vec{x}) = \vec{b}$  has infinitely many solutions

So  $T$  is not one to one.