

Section 4.2: Maximum and Minimum Values; Critical Numbers

We defined both local (relative) and absolute (global) extrema, and stated a few key definitions and theorems.

Theorem: Extreme Value Theorem (EVT) Suppose f is continuous on a closed interval $[a, b]$. Then f attains an absolute maximum value $f(d)$ and f attains an absolute minimum value $f(c)$ for some numbers c and d in $[a, b]$.

Related Theorems

Fermat's Theorem: If f has a local extremum at c and if $f'(c)$ exists, then

$$f'(c) = 0.$$

Definition: A **critical number** (a.k.a. critical point) of a function f is a number c in its domain such that either

$$f'(c) = 0 \quad \text{or} \quad f'(c) \text{ does not exist.}$$

Theorem: If f has a local extremum at c , then c is a critical number of f .

Example

Find all of the critical numbers of the function.

$$g(t) = t^{1/5}(12-t)$$

We did this on Tuesday and found that g has two critical numbers, 2 and 0.

Example

Find all of the critical numbers of the function.

$$F(x) = \frac{\ln x}{x}$$

The domain of F is $(0, \infty)$.

$$F'(x) = \frac{\left(\frac{d}{dx} \ln x\right)x - \ln x \left(\frac{d}{dx} x\right)}{x^2} = \frac{\frac{1}{x} \cdot x - \ln x (1)}{x^2}$$

$$= \frac{1 - \ln x}{x^2}$$

$F'(x) = 0$ if the numerator is zero.

$$1 - \ln x = 0 \Rightarrow \ln x = 1 \Rightarrow e^{\ln x} = e^1 \Rightarrow x = e.$$

$F'(x)$ is undefined. if the denominator is zero.

$$x^2 = 0 \Rightarrow x = 0 \text{ which is not in } \underline{\text{the domain}}$$

So 320
is not
a crit. #

F has one critical number, e .

Question

Find the derivative of $f(x) = xe^x$.

(a) $f'(x) = xe^x$

(b) $f'(x) = e^x$

(c) $f'(x) = (x + 1)e^x$

(d) $f'(x) = e^x + x^2e^{x-1}$

$$\begin{aligned}f'(x) &= \left(\frac{d}{dx} x\right) e^x + x \left(\frac{d}{dx} e^x\right) \\&= e^x + x e^x \\&= e^x(1+x)\end{aligned}$$

Question

Find all of the critical numbers of the function.

$$f(x) = xe^x$$

$$f'(x) = (x+1)e^x$$

$$f'(x) = 0 \Rightarrow (x+1)e^x = 0$$

(a) -1 and 0

$$x+1 = 0 \quad \text{or} \quad e^x = 0$$

$x = -1$ no soln.

(b) -1

(c) -1 and e

$f'(x)$ undefined never.

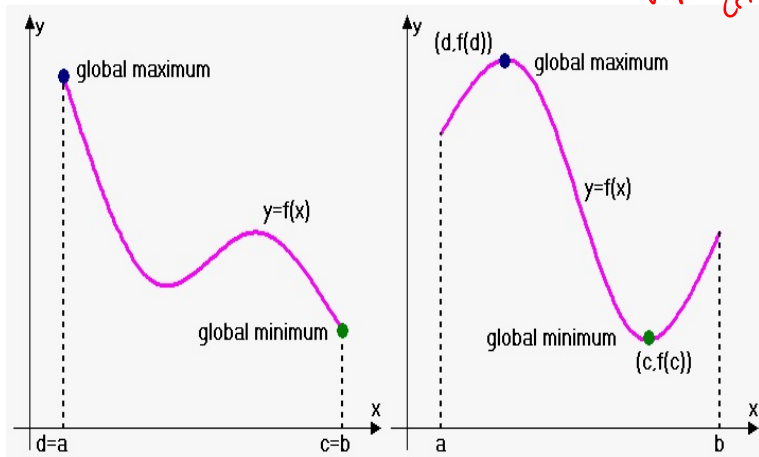
(d) There are none

Using the Extreme Value Theorem

When the EVT applies, each absolute extrema occurs either at

- ▶ at an end point, or
- ▶ in between at a critical point.

recall, local extrema have to happen @ critical numbers.



Example

Find the absolute maximum and absolute minimum values of the function on the closed interval.

(a) $g(t) = t^{1/5}(12-t)$, on $[-1, 1]$

g is continuous
 $[-1, 1]$ is closed.

Extrema occur either @ an end point, or at a critical number between them.

g had two crit. #'s 0 and 2. Zero is on our interval. We'll check the three numbers,

$g(-1)$, $g(0)$, and $g(1)$.

$$g(t) = t^{1/5} (12 - t)$$

$$g(-1) = (-1)^{1/5} (12 - (-1)) = (-1)(13) = -13$$

$$g(0) = (0)^{1/5} (12 - 0) = 0 \cdot 12 = 0$$

$$g(1) = (1)^{1/5} (12 - 1) = (1)(11) = 11$$

abs. max value is $11 = g(1)$

abs. min value is $-13 = g(-1)$.

(b) $f(x) = xe^x$, on $[-3, 1]$

f is continuous
 $[-3, 1]$ is closed.

f had one critical number -1 .

Check f @ end points and at the critical number
between them.

$$f(-3) = -3e^{-3} = \frac{-3}{e^3}$$

$$f(-1) = -1e^{-1} = \frac{-1}{e}$$

$$f(1) = 1 \cdot e^1 = e \leftarrow \text{clearly our max.}$$

$$e \approx 2.71828 \dots$$

e is close to 3

$$\text{so } \frac{-3}{e^3} \sim \frac{-3}{27} = \frac{-1}{9}$$

$$\leftarrow \begin{cases} \text{min} \\ \text{and } \frac{-1}{e} \sim \frac{-1}{3} \end{cases}$$

The abs. max value is $e = f(1)$.

The abs. min value is $-\frac{1}{e} = f(-1)$.

Question

Find all of the critical numbers of the function

$$f(x) = 1 + 27x - x^3$$

$$f'(x) = 27 - 3x^2 = 3(9 - x^2)$$

$$f'(x) = 0 \Rightarrow 3(9 - x^2) = 0 \Rightarrow 9 = x^2 \\ x = \pm 3$$

(a) 0 and 27

(b) 0 and 3

(c) -3 and 3

(d) -3, 0, and 3

$f'(x)$ is never undefined.

Question

crit #1 use 3 and -3

Find the absolute maximum and absolute minimum values of the function on the closed interval.

$$f(x) = 1 + 27x - x^3, \quad \text{on } [0, 4]$$

$$f(0) = 1 \quad \leftarrow \text{min}$$

$$f(3) = 55 \quad \leftarrow \text{max}$$

$$f(4) = 45$$

- (a) Minimum value is 1, maximum value is 55
- (b) Minimum value is 1, maximum value is 35
- (c) Minimum value is -53 , maximum value is 55
- (d) Minimum value is -53 , maximum value is 35

Section 4.3: The Mean Value Theorem

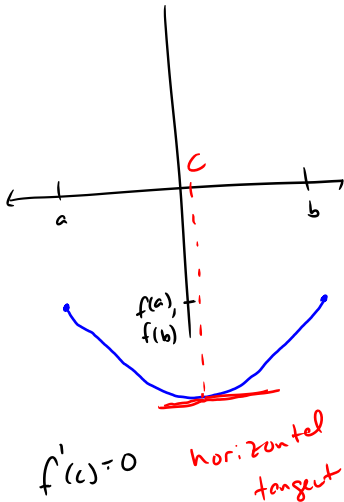
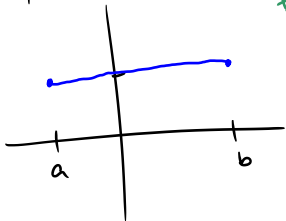
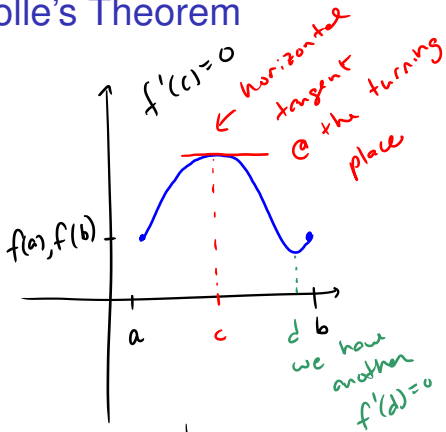
Rolle's Theorem: Let f be a function that is

- i continuous on the closed interval $[a, b]$,
- ii differentiable on the open interval (a, b) , and
- iii such that $f(a) = f(b)$.

Then there exists a number c in (a, b) such that $f'(c) = 0$.

The Mean Value Theorem (MVT) is arguably the most significant theorem in calculus. This is even accounting for a theorem we'll discuss later called the *Fundamental Theorem of Calculus*.

Rolle's Theorem



Example

Show that the function $f(\theta) = \cos \theta + \sin \theta$ has at least one point c in $[0, \frac{\pi}{2}]$ such that $f'(c) = 0$.

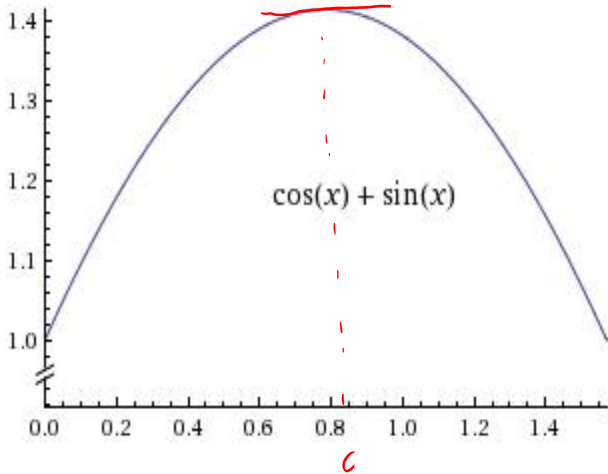
f is continuous everywhere, so it is on $[0, \frac{\pi}{2}]$.

f is differentiable everywhere, so it is on $(0, \frac{\pi}{2})$.

$$\left. \begin{aligned} f(0) &= \cos 0 + \sin 0 = 1 + 0 = 1 \\ f\left(\frac{\pi}{2}\right) &= \cos \frac{\pi}{2} + \sin \frac{\pi}{2} = 0 + 1 = 1 \end{aligned} \right\} \Rightarrow f(0) = f\left(\frac{\pi}{2}\right)$$

By Rolle's theorem, there must be some c in $(0, \frac{\pi}{2})$ such that $f'(c) = 0$.

Plot:



Figure

The Mean Value Theorem

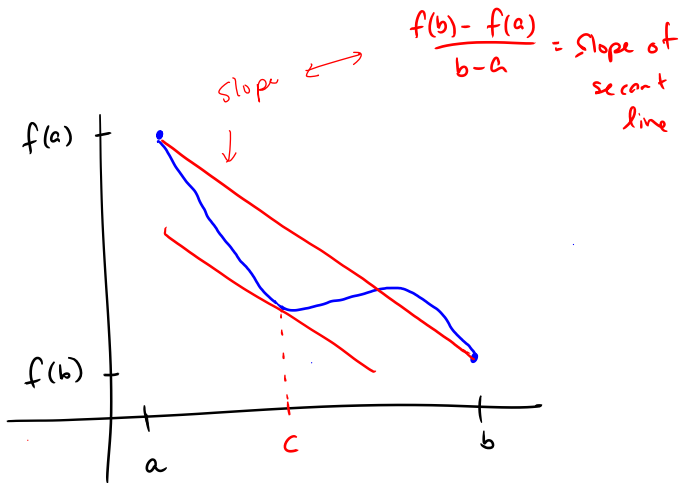
Theorem: Suppose f is a function that satisfies

- i f is continuous on the closed interval $[a, b]$, and
- ii f is differentiable on the open interval (a, b) .

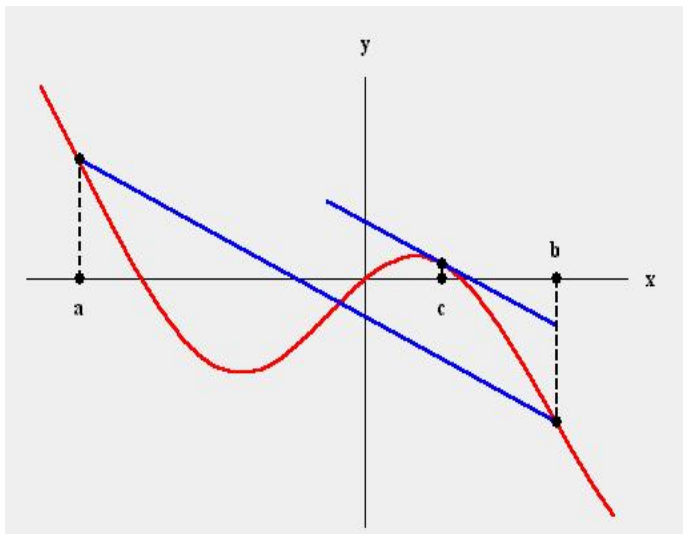
Then there exists a number c in (a, b) such that

$$f'(c) = \frac{f(b) - f(a)}{b - a}, \quad \text{equivalently} \quad f(b) - f(a) = f'(c)(b - a).$$

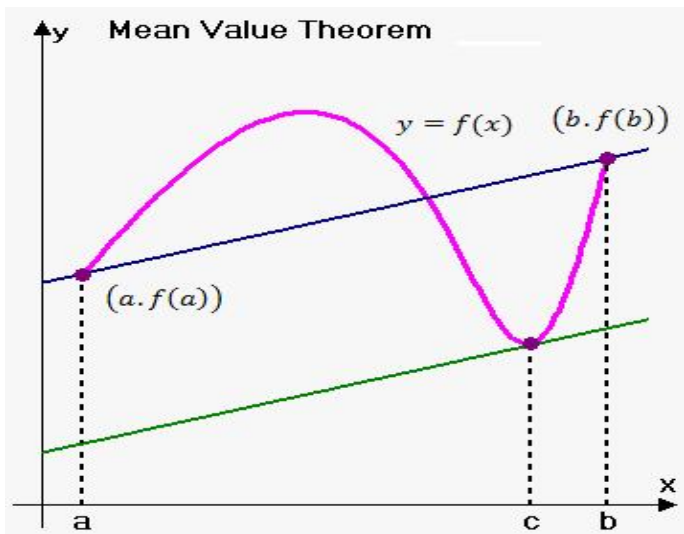
$\frac{f(b) - f(a)}{b - a}$ is the slope of the secant line connecting $(a, f(a))$ and $(b, f(b))$.



$f'(c)$ = the same slope
The tangent line is parallel to the secant line!



Figure



Figure



Figure: Celebration of the MVT in Beijing.

Example

Verify that the function satisfies the hypotheses of the Mean Value Theorem on the given interval. Then find all values of c that satisfy the conclusion of the MVT.

$$f(x) = x^3 - 2x, \quad [-2, 2]$$

f is continuous and differentiable on $[-2, 2]$
(it's a polynomial!).

$$\frac{f(b) - f(a)}{b - a} = \frac{f(2) - f(-2)}{2 - (-2)} = \frac{4 - (-4)}{4} = 2$$

$$f(-2) = (-2)^3 - 2(-2) = -4 \quad f(2) = 2^3 - 2(2) = 4$$

$$f(x) = x^3 - 2x \Rightarrow f'(x) = 3x^2 - 2$$

$$f'(c) = 2 \Rightarrow 3c^2 - 2 = 2$$

$$3c^2 = 4$$

$$c^2 = \frac{4}{3}$$

$$c = \sqrt{\frac{4}{3}} \quad \text{or} \quad c = -\sqrt{\frac{4}{3}}$$

$$= \frac{2}{\sqrt{3}} \quad \text{or} \quad c = \frac{-2}{\sqrt{3}}$$

These are the two solutions.