

Section 4.2: Maximum and Minimum Values; Critical Numbers

We defined both local (relative) and absolute (global) extrema, and stated a few key definitions and theorems.

Theorem: Extreme Value Theorem (EVT) Suppose f is continuous on a closed interval $[a, b]$. Then f attains an absolute maximum value $f(d)$ and f attains an absolute minimum value $f(c)$ for some numbers c and d in $[a, b]$.

Related Theorems

Fermat's Theorem: If f has a local extremum at c and if $f'(c)$ exists, then

$$f'(c) = 0.$$

Definition: A **critical number** (a.k.a. critical point) of a function f is a number c in its domain such that either

$$f'(c) = 0 \quad \text{or} \quad f'(c) \text{ does not exist.}$$

Theorem: If f has a local extremum at c , then c is a critical number of f .

Example

Find all of the critical numbers of the function.

$$g(t) = t^{1/5}(12-t)$$

We did this on Tuesday and found that g has two critical numbers, 2 and 0.

Example

Find all of the critical numbers of the function.

$$F(x) = \frac{\ln x}{x}$$

The domain of F is $(0, \infty)$.

Find $F'(x)$: $F'(x) =$

Question

Find the derivative of $f(x) = xe^x$.

(a) $f'(x) = xe^x$

(b) $f'(x) = e^x$

(c) $f'(x) = (x + 1)e^x$

(d) $f'(x) = e^x + x^2e^{x-1}$

$$\begin{aligned}f'(x) &= x \left(\frac{d}{dx} e^x \right) + \left(\frac{d}{dx} x \right) e^x \\ &= xe^x + 1e^x \\ &= e^x(x+1).\end{aligned}$$

Question

Find all of the critical numbers of the function.

$$f(x) = xe^x$$

$$f'(x) = (x+1)e^x$$

$f'(x)$ is undefined never

(a) -1 and 0

(b) -1

(c) -1 and e

(d) There are none

$$f'(x) = 0 \Rightarrow (x+1)e^x = 0$$

$$\Rightarrow x+1 = 0 \quad \text{or} \quad e^x = 0$$

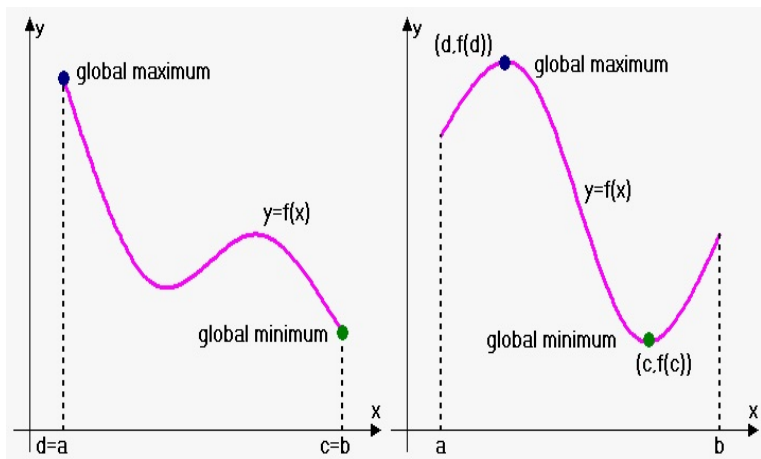
$$x = -1$$

no soln.

Using the Extreme Value Theorem

When the EVT applies, each absolute extrema occurs either at

- ▶ at an end point, or
- ▶ in between at a critical point.



Example

Find the absolute maximum and absolute minimum values of the function on the closed interval.

(a) $g(t) = t^{1/5}(12-t)$, on $[-1, 1]$

g is continuous

$[-1, 1]$ is closed.

The extreme values occur either at end points or at critical point(s) between the ends.

g had critical numbers 0 and 2.

2 is outside of $[-1, 1]$. So there's one critical number inside the interval.

will check $g(-1)$, $g(0)$, and $g(1)$.

$$g(t) = t^{1/5} (12 - t)$$

$$g(-1) = (-1)^{1/5} (12 - (-1)) = -1(13) = -13$$

$$g(0) = 0^{1/5} (12 - 0) = 0 \cdot 12 = 0$$

$$g(1) = 1^{1/5} (12 - 1) = 1 \cdot 11 = 11$$

The abs. max is $11 = g(1)$.

The abs. min is $-13 = g(-1)$.

(b) $f(x) = xe^x$, on $[-3, 1]$

f had one critical no. -1 which is in the interval. Compare $f(-3)$, $f(-1)$, $f(1)$.

$$f(-3) = -3e^{-3} = \frac{-3}{e^3}$$

$$f(-1) = -1e^{-1} = \frac{-1}{e} \leftarrow \text{min}$$

$$f(1) = 1 \cdot e^1 = e \leftarrow \text{max}$$

$$e \approx 2.71828\dots$$

e is "close" to 3

$$\left. \begin{aligned} \frac{-3}{e^3} &\sim \frac{-3}{3^3} = \frac{-1}{9} \\ \frac{-1}{e} &\sim \frac{-1}{3} \end{aligned} \right\} \frac{-1}{e} < \frac{-3}{e^3}$$

The absolute max value is $e = f(1)$.

The absolute min value is $\frac{-1}{e} = f(-1)$.

Question

Find all of the critical numbers of the function

$$f(x) = 1 + 27x - x^3$$

$$\begin{aligned} f'(x) &= 27 - 3x^2 = 3(9 - x^2) \\ &= 3(3 - x)(3 + x) \end{aligned}$$

(a) 0 and 27

(b) 0 and 3

(c) -3 and 3

(d) -3, 0, and 3

$$\begin{aligned} f'(x) = 0 &\Rightarrow 3(3 - x)(3 + x) = 0 \\ 3 - x &= 0 \quad \text{or} \quad 3 + x = 0 \\ x &= 3 \quad \text{or} \quad x = -3 \end{aligned}$$

$f'(x)$ is always defined

Question

Find the absolute maximum and absolute minimum values of the function on the closed interval.

f had one crit. number inside the interval.

$$f(x) = 1 + 27x - x^3, \quad \text{on } [0, 4]$$

$$f(0) = 1$$

$$f(3) = 55$$

$$f(4) = 45$$

(a) Minimum value is 1, maximum value is 55

(b) Minimum value is 1, maximum value is 35

(c) Minimum value is -53 , maximum value is 55

(d) Minimum value is -53 , maximum value is 35

Section 4.3: The Mean Value Theorem

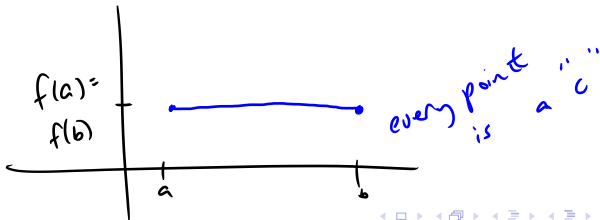
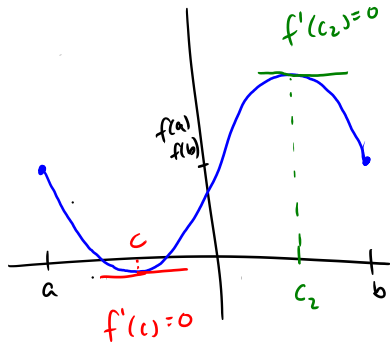
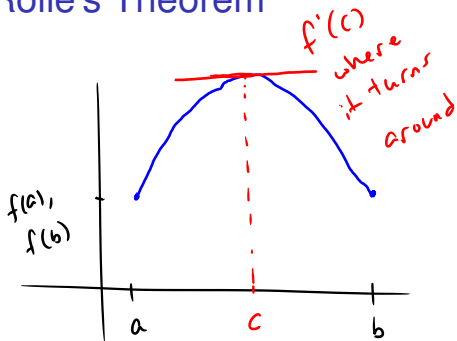
Rolle's Theorem: Let f be a function that is

- i continuous on the closed interval $[a, b]$,
- ii differentiable on the open interval (a, b) , and
- iii such that $f(a) = f(b)$.

Then there exists a number c in (a, b) such that $f'(c) = 0$.

The Mean Value Theorem (MVT) is arguably the most significant theorem in calculus. This is even accounting for a theorem we'll discuss later called the *Fundamental Theorem of Calculus*.

Rolle's Theorem



Example

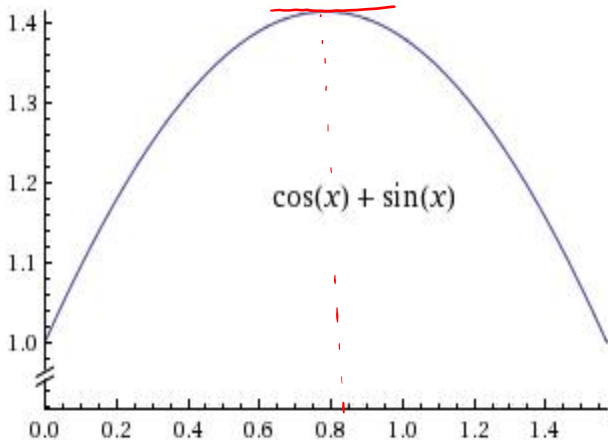
Show that the function $f(\theta) = \cos \theta + \sin \theta$ has at least one point c in $[0, \frac{\pi}{2}]$ such that $f'(c) = 0$.

f is continuous and differentiable everywhere.
So it's continuous on $[0, \frac{\pi}{2}]$ and differentiable
on $(0, \frac{\pi}{2})$.

$$\left. \begin{aligned} f(0) &= \cos 0 + \sin 0 = 1 + 0 = 1 \\ f\left(\frac{\pi}{2}\right) &= \cos \frac{\pi}{2} + \sin \frac{\pi}{2} = 0 + 1 = 1 \end{aligned} \right\} f(0) = f\left(\frac{\pi}{2}\right)$$

By Rolle's Thm, there exists c in $(0, \frac{\pi}{2})$ such
that $f'(c) = 0$.

Plot:



C

Figure

The Mean Value Theorem

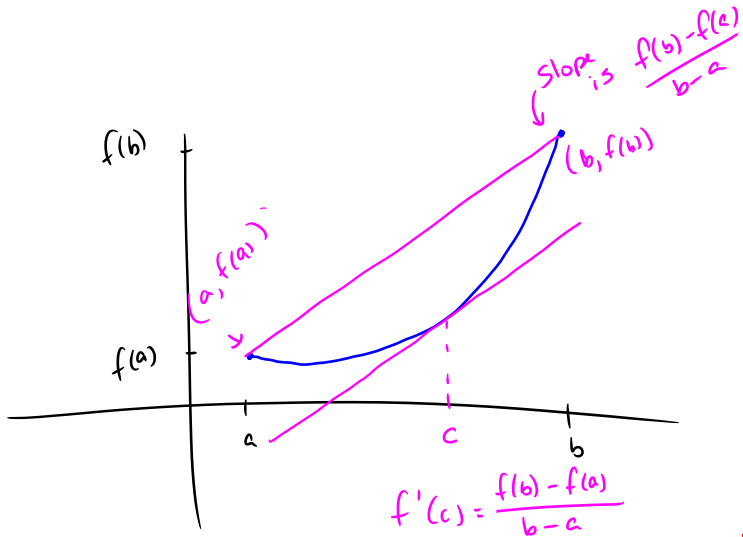
Theorem: Suppose f is a function that satisfies

- i f is continuous on the closed interval $[a, b]$, and
- ii f is differentiable on the open interval (a, b) .

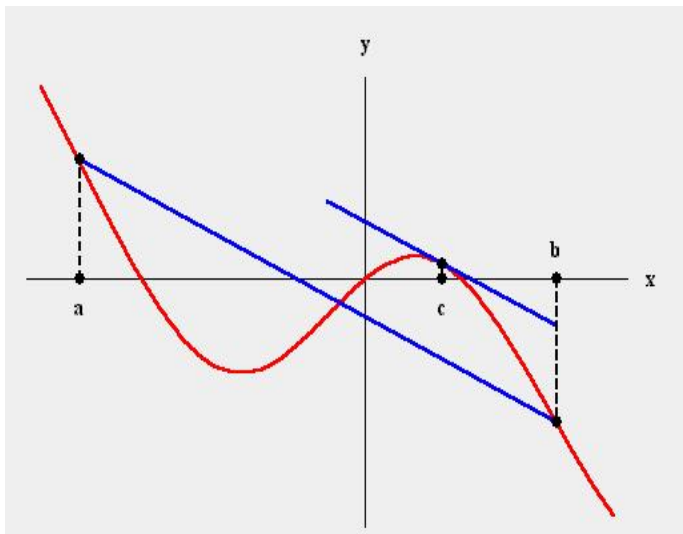
Then there exists a number c in (a, b) such that

$$f'(c) = \frac{f(b) - f(a)}{b - a}, \quad \text{equivalently} \quad f(b) - f(a) = f'(c)(b - a).$$

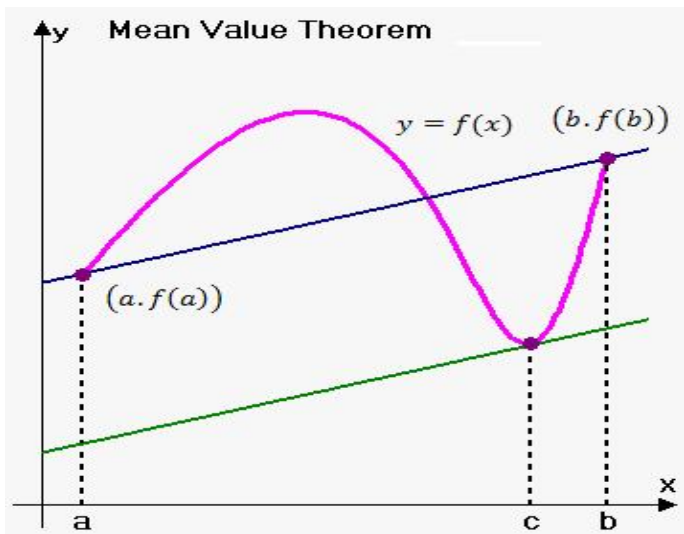
This is the slope of the secant line through $(a, f(a))$ and $(b, f(b))$.



The tangent @ c is parallel to the secant line!



Figure



Figure



Figure: Celebration of the MVT in Beijing.

Example

Verify that the function satisfies the hypotheses of the Mean Value Theorem on the given interval. Then find all values of c that satisfy the conclusion of the MVT.

$$f(x) = x^3 - 2x, \quad [-2, 2]$$

As a polynomial, f is continuous on $[-2, 2]$ and differentiable on $(-2, 2)$.

$$f(-2) = (-2)^3 - 2(-2) = -4, \quad f(2) = 2^3 - 2 \cdot 2 = 4$$

$$\frac{f(2) - f(-2)}{2 - (-2)} = \frac{4 - (-4)}{2 - (-2)} = 2$$

$$f(x) = x^3 - 2x \Rightarrow f'(x) = 3x^2 - 2$$

we need $f'(c) = 2$

$$3c^2 - 2 = 2 \Rightarrow 3c^2 = 4$$

$$c^2 = \frac{4}{3}$$

$$c = \pm \sqrt{\frac{4}{3}}$$

$$c = \frac{2}{\sqrt{3}} \text{ or } c = \frac{-2}{\sqrt{3}}$$

Both are in the interval. These are the two answers.