

Section 4.3: The Mean Value Theorem

Another significant consequence of the MVT is that it provides a test for the increasing and decreasing behavior of a differentiable function.

Theorem: Let f be differentiable on an open interval (a, b) . If

- ▶ $f'(x) > 0$ on (a, b) , the f is increasing on (a, b) , and
- ▶ $f'(x) < 0$ on (a, b) , the f is decreasing on (a, b) .

Example

Determine the intervals over which f is increasing and the intervals over which it is decreasing where

$$f(x) = 2x^3 - 6x^2 - 18x + 1$$



We did this problem on Friday. The domain of f is all reals. We found that $f'(x) = 6(x - 3)(x + 1)$ so that $f'(x) = 0$ when $x = 3$ and when $x = -1$. We

- ▶ split the real line up by these numbers,
- ▶ tested the sign in each interval by putting a test value into $f'(x)$, and
- ▶ recorded the signs.

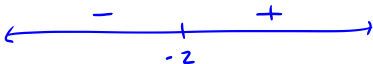
Based on that, we determined that f is increasing on $(-\infty, -1) \cup (3, \infty)$ and decreasing on $(-1, 3)$.

Question

Suppose that we compute the derivative of some function g and find

$$g'(x) = (2 + x)e^{x/2}.$$

Determine the intervals over which g is increasing and over which it is decreasing.



(a) g is increasing on $(-1/2, \infty)$ and decreasing on $(-\infty, -1/2)$.

(b) g is increasing on $(-2, \infty)$ and decreasing on $(-\infty, -2)$.

(c) g is increasing on $(2, \infty)$ and decreasing on $(-\infty, 2)$.

(d) g is increasing on $(-\infty, -2)$ and decreasing on $(-2, \infty)$.

Section 4.4: Local Extrema and Concavity

We have already seen that the first derivative f' can tell us about the behaviour of the function f —in particular, it gives information about where it is increasing or decreasing, and where it may take a local extreme value.

In this section, we'll expand on that as well as introduce information about a function that can be deduced from the nature of its second derivative.

Theorem: First derivative test for local extrema

Let f be continuous and suppose that c is a critical number of f .

- ▶ If f' changes from negative to positive at c , then f has a local minimum at c .
- ▶ If f' changes from positive to negative at c , then f has a local maximum at c .
- ▶ If f' does not change signs at c , then f does not have a local extremum at c .

Note: we read from left to right as usual when looking for a sign change.

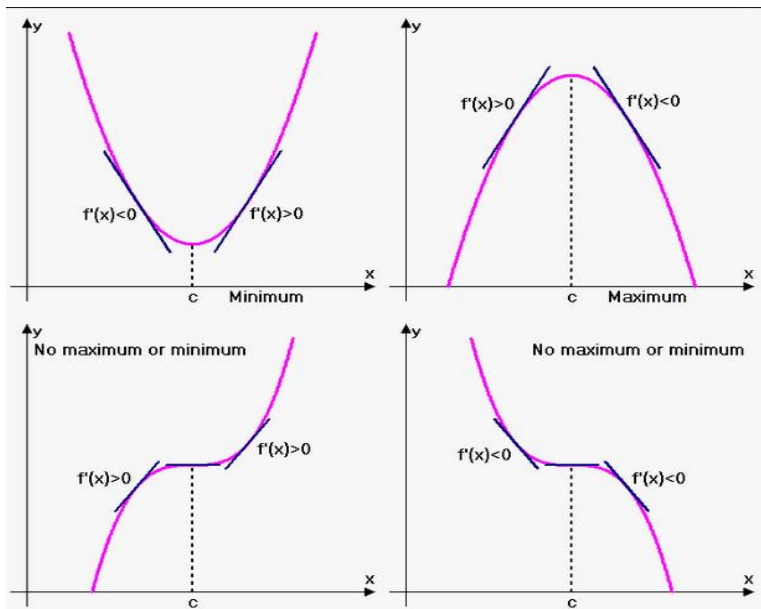


Figure: First derivative test

Example

Find all the critical points of the function and classify each one as a local maximum, a local minimum, or neither.

$$f(x) = x^{1/3}(16 - x) = 16x^{1/3} - x^{4/3}$$

The domain is $(-\infty, \infty)$.

Find the critical points:

$$\begin{aligned} f'(x) &= 16 \left(\frac{1}{3} x^{-2/3} \right) - \frac{4}{3} x^{1/3} \\ &= \frac{16}{3x^{2/3}} - \frac{4x^{1/3}}{3} \cdot \frac{x^{2/3}}{x^{2/3}} \\ &= \frac{16}{3x^{2/3}} - \frac{4x}{3x^{2/3}} = \frac{16 - 4x}{3x^{2/3}} \end{aligned}$$

$$f'(x) = \frac{16-4x}{3x^{2/3}}$$

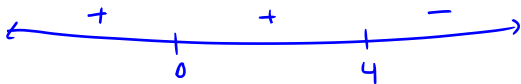
$f'(x) = 0$ if the numerator is zero

$$16-4x = 0 \Rightarrow 16=4x \Rightarrow x=4$$

$f'(x)$ is undefined if the denominator is zero.

$$3x^{2/3} = 0 \Rightarrow x=0$$

Do a sign analysis on $f'(x) = \frac{16-4x}{3x^{2/3}} = \frac{16-4x}{3\sqrt[3]{x^2}}$



$$\text{Test } -1 : f'(-1) = \frac{16 - 4(-1)}{3 \sqrt[3]{(-1)^2}} = \frac{20}{3}$$

$$1 : f'(1) = \frac{16 - 4 \cdot 1}{3 \sqrt[3]{1^2}} = \frac{12}{3}$$

$$5 : f'(5) = \frac{16 - 4 \cdot 5}{3 \sqrt[3]{(5)^2}} = \frac{-4}{3 \sqrt[3]{25}}$$

The sign of f' doesn't change @ $x=0$.

There is no local extremum there.

The sign of f' changes from + to -

@ $x=4$. f has a local maximum

at $x=4$.

Question

Consider the function $f(t) = t^4 + 4t^3$. Which of the following is true about this function?

$$f'(t) = 4t^3 + 12t^2 = 4t^2(t+3)$$

- (a) f has a local minimum at $t = 0$ and a local maximum at $t = -3$.
- (b) f has a local minimum at $t = -3$ and a local maximum at $t = 0$.
- (c) f has a local minimum at $t = -3$.

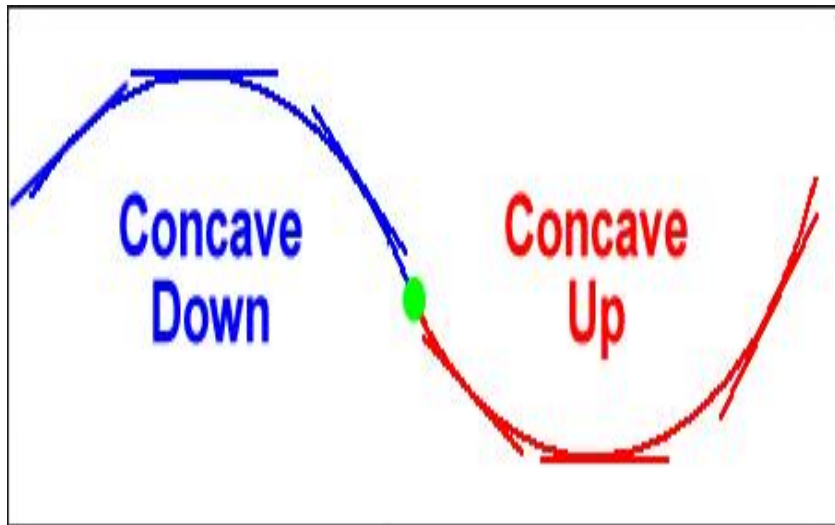
(d) f has a local minimum at $t = 0$.



Concavity and The Second Derivative

Concavity: refers to the *bending* nature of a graph. In particular, a curve is **concave down** if it's cupped side is down, and it is **concave up** if it's cupped upward.

Concavity



Figure

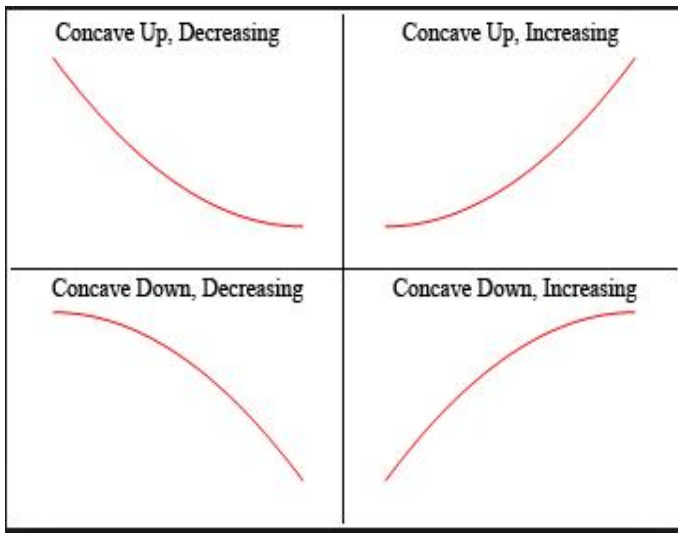


Figure: A graph can have either increasing or decreasing behavior and be either concave up or down.

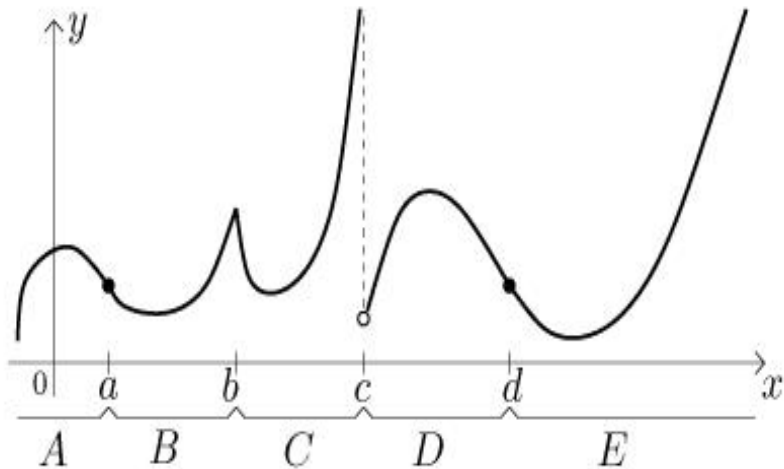


Figure: We can consider concavity at a point, but it's best thought of as a property over an interval. Many function's graphs have concavity that changes over the domain.

Definition of Concavity

If the graph of a function f lies above all of its tangent lines over an interval I , then f is concave up on I . If the graph of f lies below each of its tangent lines on an interval I , f is concave down on I .

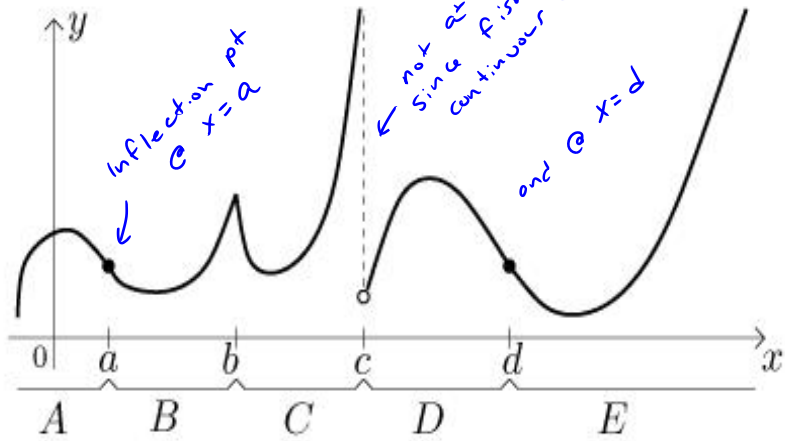
Theorem: (Second Derivative Test for Concavity)

Suppose f is twice differentiable on an interval I .

- ▶ If $f''(x) > 0$ on I , then the graph of f is concave up on I .
- ▶ If $f''(x) < 0$ on I , then the graph of f is concave down on I .

Definition: A point P on a curve $y = f(x)$ is called an **inflection point** if f is continuous at P and the concavity of f changes at P (from down to up or from up to down). A point where $f''(x) = 0$ would be a candidate for being an inflection point.

↑ or where $f''(x)$ is undefined.



Concavity and Extrema:

Theorem: (Second Derivative Test for Local Extrema)

Suppose $f'(c) = 0$ and that f'' is continuous near c . Then

- ▶ if $f''(c) > 0$, f takes a local minimum at c ,

- ▶ if $f''(c) < 0$, then f takes a local maximum at c .

If $f''(c) = 0$, then the test fails. f may or may not have a local extrema. You can go back to the first derivative test to find out.

Example

Analyze the function $f(x) = xe^{3x}$. In particular, indicate

- (a) the intervals on which f is increasing and decreasing, ← 1st der.
- (b) the intervals on which f is concave up and concave down, ← 2nd der.
- (c) identify critical points and classify any local extrema, and
- (d) identify any points of inflection. ← 2nd der

Find f' and f''

$$f'(x) = 1 \cdot e^{3x} + x \cdot e^{3x} \cdot 3 = e^{3x} (1 + 3x)$$

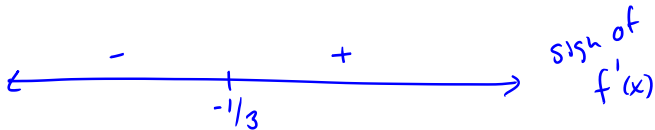
$$f''(x) = e^{3x} \cdot 3 + 1 \cdot e^{3x} \cdot 3 + x \cdot e^{3x} \cdot 3 \cdot 3 = e^{3x} (6 + 9x)$$

Sign analysis on $f'(x)$: The domain of f is $(-\infty, \infty)$

$$f'(x) = 0 \Rightarrow e^{3x}(1+3x) = 0$$

$$\Rightarrow e^{3x} = 0 \text{ (no solutions)} \text{ or } 1+3x = 0 \Rightarrow x = -\frac{1}{3}$$

$f'(x)$ is undefined never.



$$\text{Test } f'(-1) = e^{-3}(1-3) = -2e^{-3}$$

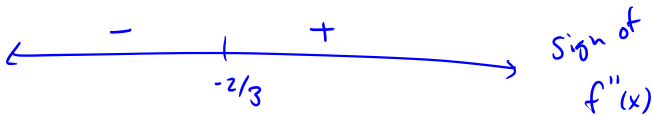
$$f'(0) = e^0(1+0) = e^0 = 1$$

(A) f is decreasing on $(-\infty, -\frac{1}{3})$ and f is increasing on $(-\frac{1}{3}, \infty)$

Do a sign analysis on $f''(x) = e^{3x}(6+9x)$

$$f''(x) = 0 \Rightarrow e^{3x}(6+9x) = 0 \quad e^{3x} \neq 0 \text{ for all } x$$

$$6+9x = 0 \Rightarrow 9x = -6 \Rightarrow x = -\frac{6}{9} = -\frac{2}{3}$$



Test $f''(-1) = e^{-3}(6-9) = -3e^{-3}$

$$f''(0) = e^0(6+0) = 6e^0 = 6$$

(b) f is concave down on $(-\infty, -\frac{2}{3})$ and f is concave up on $(-\frac{2}{3}, \infty)$

(d) f has an inflection pt. @ $x = -\frac{2}{3}$ since the concavity changes there.

(c) f has one critical number $-\frac{1}{3}$. The graph takes a local minimum there by the 1st (or 2nd) derivative test.

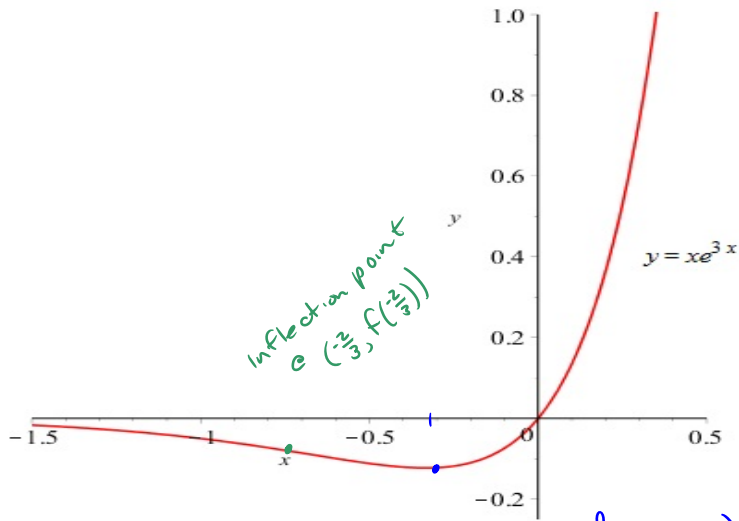


Figure: Plot of $y = xe^{3x}$.

local
min @
 $(-\frac{1}{3}, f(-\frac{1}{3}))$

Questions

(1) **True or False** If $f''(2) = 0$ it must be that f has an inflection point $(2, f(2))$.

False, concavity need not change. E.g. $f(x) = (x-2)^4$

(2) Suppose that we know a function f satisfies the two conditions $f'(1) = 0$ and $f''(1) = 4$. Which of the following can we conclude with certainty?

(a) f has a local minimum at $(1, f(1))$.

(b) f has an inflection point at $(1, f(1))$.

(c) f has a local maximum at $(1, f(1))$.

(d) None of the above are necessarily true.


2nd der. test
tells us
we have
a local min.