

# 1 Interlude: Different sizes of $\mathbb{Z}^+$ and $\mathbb{R}$

What do we mean by the size of a set? When sets are finite the answer is easy since the size of a finite set is a number. The order of a set  $A$ ,  $|A|$ , is the number of elements it contains. Let  $A = \{1, 2, 3\}$ ,  $B = \{\alpha, \beta, \gamma\}$  and  $C = \{\clubsuit, \diamond\}$ . Note that  $|A| = 3 = |B|$  so  $A$  and  $B$  have the same size. Since  $|C| = 2$ ,  $A$  and  $C$  have different sizes.

When sets are infinite, things get trickier since  $\infty$  is a concept rather than a number. We need a different approach that is still consistent with the concept of size of finite sets. A sturdier definition that works with both finite and infinite sets is to say that two sets have the same size if there exists a one-to-one and onto function between the sets. Note that one-to-one and onto functions are invertible. Hence order is a symmetric relation. Using this definition we

show that  $|A| = |B|$  since

A		B
1	→	α
2	→	β
3	→	γ

is a one-to-one and onto function. In

contrast  $A$  and  $C$  have different sizes since we cannot map all three elements of  $A$  to  $C$  with a one-to-one function. Conversely, if we attempt to map  $C$  to  $A$ , no onto function exists. This approach works with sets of infinite size. We define the cardinality of the positive integers as countably infinite. Symbolically,  $|\mathbb{Z}^+| = \aleph_0$  (aleph null).

**Example 1** Show that  $|\mathbb{Z}| = \aleph_0$ . To do so we need to exhibit a one-to-one and onto function between  $\mathbb{Z}^+$  and  $\mathbb{Z}$ . This is easier than it sounds. Here's our function.

$\mathbb{Z}^+$	1	2	3	4	5	6	7	8	...
$\mathbb{Z}$	0	1	-1	2	-2	3	-3	4	...

Note that we could define the rule for this function  $f(n) = \left\{ \begin{array}{l} \frac{n}{2} \text{ for even } n \\ -\lfloor \frac{n}{2} \rfloor \text{ for odd } n \end{array} \right\}$  but we are not required to do so.

**Example 2** Show that the size of the even positive integers is  $\aleph_0$ . All we do is exhibit an appropriate one-to-one and onto function.

$\mathbb{Z}^+$	1	2	3	4	5	6	7	8	...
$2\mathbb{Z}^+$	2	4	6	8	10	12	14	16	...

Again, we could define the rule for this function  $f(n) = 2n$  but we are not required to do so.

**Remark 3** Note that order is a transitive operation. If  $|A| = |B|$  and  $|B| = |C|$  then  $|A| = |C|$ .

**Example 4** Show that the size of the even positive integers is the same as the size of the set of all positive integer multiples of 5. We've already shown that  $|2\mathbb{Z}^+| = \aleph_0$ . We only need to show that  $|5\mathbb{Z}^+| = \aleph_0$  and let function composition take care of the rest.

$\mathbb{Z}^+$	1	2	3	4	5	6	7	8	...
$5\mathbb{Z}^+$	5	10	15	20	25	30	35	40	...

or  $g(n) = 5n$ . The function that maps  $2\mathbb{Z}^+ \rightarrow 5\mathbb{Z}^+$  is  $(g \circ f^{-1})(n) = \frac{5n}{2}$ .

**Exercise 5** Let's show that  $|\mathbb{Q}^+| = \aleph_0$ .

Now for the fun part! We need to show that the interval of real numbers  $(0, 1)$  does not have size  $\aleph_0$ . The proof is by contradiction. Assume that there is some one-to-one and onto function between  $\mathbb{Z}^+$  and  $(0, 1)$ .

$\mathbb{Z}^+$	1	2	3	4	5	6	7	8	...
$(0, 1)$	?	?	?	?	?	?	?	?	...

If I can point to a number in  $(0, 1)$  that is not included in this ordered list then I know that no such one-to-one and onto function exists. The method to do so is known as Cantor's diagonal argument (<https://www.slideshare.net/mattspaul/matthew-infinitypresentation>).

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## Cantor's Diagonal Argument

- |              |                   |                                    |  |
|--------------|-------------------|------------------------------------|--|
| $\mathbb{N}$ | $\leftrightarrow$ | <i>reals in <math>(0,1)</math></i> |  |
| 1            | $\leftrightarrow$ | .835987...                         | <ul style="list-style-type: none"> <li>• For any hypothesised enumeration of the real numbers, we can show that there is a real which is not in that enumeration.</li> <li>• We rely on forming a new real by the systematic alteration of the digits in the enumeration.</li> </ul> |
| 2            | $\leftrightarrow$ | .250000...                         |  |
| 3            | $\leftrightarrow$ | .559423...                         |  |
| 4            | $\leftrightarrow$ | .500000...                         |  |
| 5            | $\leftrightarrow$ | .728532...                         |  |
| 6            | $\leftrightarrow$ | .845312...                         |  |
| ⋮            |                   | ⋮                                  |  |
| $n$          | $\leftrightarrow$ | $.r_1r_2r_3r_4r_5 \dots r_n \dots$ |  |
| ⋮            |                   | ⋮                                  |  |

We will now point to a real number  $r$  in  $(0, 1)$  that is not in the alleged one-to-one and onto mapping. Let  $r = 0.d_1d_2d_3\dots d_i\dots$ , and thus  $r \in (0, 1)$ . What is  $d_i$ ? We let  $d_i = 0$  unless the  $i^{th}$  digit of the real number mapped to integer  $i$  by the assumed one-to-one and onto mapping is 0. If that is the case then  $d_i = 1$ . For the alleged mapping above,  $r = 0.000100\dots$ . Since  $r$  always differs by at least one digit from every real in the listing then  $r$  is not in the alleged one-to-one and onto function between  $\mathbb{Z}^+$  and  $(0, 1)$ . Thus, the mapping is not onto and the interval of real numbers  $(0, 1)$  does not have size  $\aleph_0$ . We say that the size of  $(0, 1)$  is  $c$  (for continuum).

## 2 Exercises

1. Show that the size of the set of all positive integer multiples of 7 has cardinality  $\aleph_0$ .
2. Show that the size of the set of all positive integer multiples of  $k$  has cardinality  $\aleph_0$  for any  $k \in \mathbb{Z}^+$ .
3. Show that the size of the even integers is the same as the size of the set of all positive integer multiples of 5.
4. Let  $A = \{1, 2\}$ . Show that  $|A| \neq |A \times A|$ .
5. Show that  $|\mathbb{Z}^+| = |\mathbb{Z}^+ \times \mathbb{Z}^+|$ . Hint! Use a technique of this section.
6. Give an example of sets  $A$  and  $B$  such that  $A$  is a proper subset of  $B$  but  $|A| = |B|$ .