Periods of sequences given by linear recurrence relations mod *p*

Alan Koch Chrissy Franzel, Chuya Guo, Rose Psalmond, Shan Shan, Hilary Tobiasz, Meina Zhou

Agnes Scott College

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Outline

- Recursive sequences mod p
- Some linear algebra
- Second order sequences
 - Fibonacci sequence with different initial conditions
 - Period lengths
 - Maximal periods
- Third order sequences and beyond
 - Third order
 - Beyond

An example

Consider the Fibonacci sequence

$$0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, \dots$$

Usually defined recursively

$$F_0 = 0$$

 $F_1 = 1$
 $F_{n+2} = F_{n+1} + F_n, n \ge 0$.

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- **5**: 0, 1, 1, 2, 3, 0, 3, 3, 1, 4, 0, 4, 4, 3, 2, 0, 2, 2, 4, 1, 0, 1, ...

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Each is periodic. Let k(p) denote the period length.

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Question. Is there a formula to compute k(p)?

The answer...

Probably not.

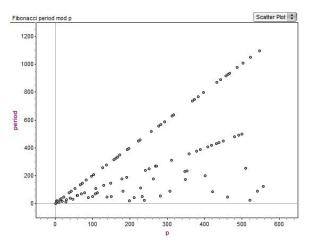


Figure: Fibonacci period as a function of *p*

But...

The figure suggests some results.

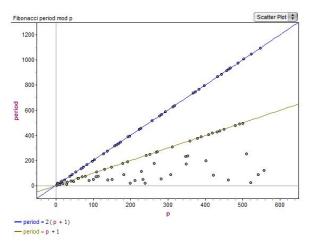


Figure: The lines are k(p) = 2(p+1) and k(p) = p+1

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The exception is the initial conditions $F_0 = F_1 = 0$ which produces a period length 1.

What if we change the recurrence relation?

What if we change the recurrence relation? Fix a prime p > 2. Consider a sequence $\{s_n\}$ which satisfies the recurrence relation

$$s_{n+2} = c_1 s_{n+1} + c_2 s_n, c_1, c_2 \in \mathbb{Z}, p \nmid c_2.$$

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Clearly, period length depends only on the congruence classes of the parameters.

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- If $t_0 = s_n$ and $t_1 = s_{n+1}$ and both sequences have the same recurrence relation, the two sequences have the same period.

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- If $t_0 = s_n$ and $t_1 = s_{n+1}$ and both sequences have the same recurrence relation, the two sequences have the same period.
- 4 The period is bounded by $p^2 1$.
- **1**, **2**, and **3** are straightforward; **4** follows from **3** since there are only $p^2 1$ nontrivial choices for consecutive pairs of elements mod p. ...

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What if the recurrence is third order?

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An observation

Since period length depends only on the congruence classes for the parameters, we can consider sequences $\{s_n\} \subset \mathbb{F}_p$ which satisfy the recurrence relation

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This allows us to use the theory of matrices over a field.

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Then

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Notice that the period of the sequence is k = 8 regardless of initial conditions.

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(Agnes Scott College)

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 - if $p \equiv \pm 2 \mod 5$ then $k = \operatorname{ord}(A)$ for all initial conditions.

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(Agnes Scott College)

- if $p \equiv \pm 1 \mod 5$ then two eigenvalues.
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 - if p = 5 then ord(A) = 20 and |3| = 4. The initial conditions (s_0, s_1) which give k = 5 are (1,3), (2,1), (3,4), (4,2). All other initial conditions give k = 20.
 - if $p \equiv \pm 1 \mod 5$ we have eigenvalues λ_1, λ_2 , say $|\lambda_1| \leq |\lambda_2|$. Note $\lambda_1 \lambda_2 = -1$, $\lambda_1 + \lambda_2 = 1$. Two cases:
 - $|\lambda_1|$ is even. Then $|\lambda_1| = |\lambda_2|$ and for all $(s_0, s_1), k = \operatorname{ord}(A) = |\lambda_1|$.
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 Follows mostly from the previous results.

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For $c_1, c_2, s_0, s_1 \in \mathbb{F}_p$, $c_2 \neq 0$, let $k_{(c_1, c_2)}(s_0, s_1)$ be the period of $s_{n+2} = c_1 s_{n+1} + c_2 s_n$ with initial conditions s_0, s_1 .

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For brevity, write k for $k_{(c_1,c_2)}(s_0,s_1)$.

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$$k = pm$$
, for some $m \mid (p - 1)$.

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Question. Do all of the above numbers actually arise as periods? We say a positive integer k is *realizable* mod p if there exists c_1, c_2, s_0, s_1 such that $k = k_{(c_1, c_2)}(s_0, s_1)$. Note that 1 is realizable for all p. $(s_0 = s_1 = 0)$.

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Theorem. [C. Franzel, R. Psalmond, H. Tobiasz, 2011] Any *k* satisfying the divisibility criteria above is realizable.

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The sequence

$$s_0 = 1$$

 $s_1 = 2$
 $s_{n+2} = 3s_{n+1} + 15s_n$

has period k = 8:

Case 2: $k \mid p^2 - 1, k \nmid p - 1$

• Pick $\lambda := \lambda_1 \in \mathbb{F}_{p^2}, |\lambda| = k$. (Technical detail 1: yes, you can do this.)

- Pick $\lambda:=\lambda_1\in\mathbb{F}_{p^2}, |\lambda|=k$. (Technical detail 1: yes, you can do this.)
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We write $\mathbb{F}_{p^2} = \{a + b\alpha : a, b \in \mathbb{F}_p, \alpha^2 = 3\}.$

• Pick $\lambda := \lambda_1 \in \mathbb{F}_{p^2}, |\lambda| = k$. One choice: $\lambda_1 = 2 + \alpha$.

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The sequence

$$s_0 = 0$$

 $s_1 = 1$
 $s_{n+2} = 4s_{n+1} - s_n$

has period k = 18:

 $0, 1, 4, 15, 5, 5, 15, 4, 1, 0, 16, 13, 2, 12, 12, 2, 13, 16, 0, 1, \dots$

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The sequence

$$s_0 = 0, s_1 = 1, s_{n+2} = 8s_{n+1} + s_n$$

has period k = 68:

0, 1, 8, 14, 1, 5, 7, 10, 2, 9, 6, 6, 3, 13, 5, 2, 4,

0, 4, 15, 5, 4, 3, 11, 6, 8, 2, 7, 7, 12, 1, 3, 8, 16,

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For a fixed p, we know that p^2-1 is the maximum period. **Question** How likely will a choice of c_1, c_2 give $k_{(c_1,c_2)}(0,1)=p^2-1$? Recall: $\begin{bmatrix} 0 \\ 1 \end{bmatrix}$ cannot be an eigenvector for $A(c_1,c_2)$ and hence will

give the longest period for the recurrence relation.

. . .

Suppose $k_{(c_1,c_2)}(0,1) = p^2 - 1$. Then

• $A(c_1, c_2)$ has two eigenvectors $\lambda, \lambda^p \notin \mathbb{F}_p$.

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- $|\lambda| = p^2 1$.
- There are $\phi(p^2-1)$ elements in \mathbb{F}_{p^2} of order p^2-1 .

$$(\phi(n) = {}^{\#} \{1 \le a \le n : \gcd(a, n) = 1\})$$

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• Two different choices of eigenvalue, say λ and γ , will give the same values for c_1, c_2 if and only if $\gamma = \lambda^p$ or (equivalently) $\lambda = \gamma^p$.

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Theorem. [K., M. Zhou, 2013)] The number of linear recurrence relations for which $k_{(c_1,c_2)}(0,1)=p^2-1$ is

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So the probability that a random (c_1, c_2) gives maximal period is $\phi(p^2 - 1)/(2(p^2 - 1))$.

 $\phi(p^k) = p^{k-1}(p-1), p \text{ prime}; \phi(mn) = \phi(m)\phi(n), \gcd(m,n) = 1.$

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Example
$$(p = 17, p^2 - 1 = 288 = 2^5 \cdot 3^2)$$

$$\phi(288) = \phi(2^5)\phi(3^2) = (2^4(2-1))(3^1(3-1)) = 16 \cdot 6 = 96$$

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 $\phi(288) = \phi(2^5)\phi(3^2) = (2^4(2-1))(3^1(3-1)) = 16 \cdot 6 = 96$ So there are 48 choices of (c_1, c_2) which give the maximum period (probability: 48/288 = 1/6).

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$$(p = 17, p^2 - 1 = 288 = 2^5 \cdot 3^2)$$

$$\phi(288) = \phi(2^5)\phi(3^2) = (2^4(2-1))(3^1(3-1)) = 16 \cdot 6 = 96$$

So there are 48 choices of (c_1, c_2) which give the maximum period (probability: $48/288 = 1/6$).

Example ($p = 2017, p^2 - 1 = 4068288 = 2^6 \cdot 3^2 \cdot 7 \cdot 1009$)

We have

$$\phi(4068288) = \phi(2^6)\phi(3^2)\phi(7)\phi(1009)$$
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So there are 580608 choices of (c_1, c_2) which give maximum period (probability: 1161216/4068288 = 288/1009 \approx .285).

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Question

Is it possible to actually list the (c_1, c_2) as opposed to counting them?

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Is it possible to actually list the (c_1, c_2) as opposed to counting them? Yes.

. . .

Outline

- Recursive sequences mod p
- Some linear algebra
- Second order sequences
 - Fibonacci sequence with different initial conditions
 - Period lengths
 - Maximal periods
- Third order sequences and beyond
 - Third order
 - Beyond

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The Question

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$$s_{n+3} = c_1 s_{n+2} + c_2 s_{n+1} + c_3 s_n.$$

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Do the results of Franzel-Psalmond-Tobiasz generalize?



Yes.

Let

$$A = \left[\begin{array}{ccc} 0 & 1 & 0 \\ 0 & 0 & 1 \\ c_3 & c_2 & c_1 \end{array} \right]$$

and let $\lambda_1,\lambda_2,\lambda_3\in\mathbb{F}_{p^3}$ be its eigenvalues.

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Then [S. Shan, 2012]:

If the eigenvalues are distinct, and $v_1 = v_2 = v_3 = 1$, then $k \mid p - 1$.

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- If the eigenvalues are distinct, and $v_1 = v_2 = v_3 = 1$, then $k \mid p 1$.
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Furthermore, any k satisfying one of the above divisibility criteria is realizable.

. . .

Yes, but it's awkward to state.

Let $\{s_n\}$ be a sequence satisfying an w^{th} order linear recurrence relation. Let k be its period.

• Let $\lambda_1, \ldots, \lambda_t \in \mathbb{F}_{p^w}$ be the distinct eigenvalues.

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There is a converse which, to date, defies a nice description.

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Thank you.